

ISQua 26th International Conference,
Dublin 13.10.09


Special Interest Seminar
Building a Culture of Patient Safety

A Framework for safety and Quality in Australia


Bruce Barraclough
Board Chair,
NSW Clinical Excellence Commission



Australia



- 22 million population, least densely populated country on earth
- Island continent with the oldest living indigenous culture
- A Federated nation – 8 States and Territories plus one national government
- Legislative powers and responsibility for health and human services are distributed between the 9 elected governments and parliaments
- Approximately 10% GDP spent on health
- 70% / 30% government / private funding



What started the national response for patient safety?

- “Quality in Australian Healthcare Study” 1995
 - Wilson, Runciman et al Medical Journal of Australia 1995 163:459
- 5 years of reviews and reports
- Australian Council for Safety and Quality in Health Care commenced in January 2000, focused on reducing the likelihood and effects of error. \$55 million AUD over 6 years





Adverse Events

-International information

	AE's	Preventable
• Baker et al, Canada 2000	• 7.5%	36%
• Thomas et al, Utah Colorado 1992	• 2.9%	--
• Wilson et al* Australia, 1995	• 16.6%	51%
• Thomas et al 2000, reworked 1995 Australian paper	• 10.6%	--
• Brennan et al, Leape et al, New York 1984	• 3.7%	--
• Vincent et al, London 1999,2000	• 10.8%	48%
• Davis et al*, New Zealand 1998	• 12.9%	37%

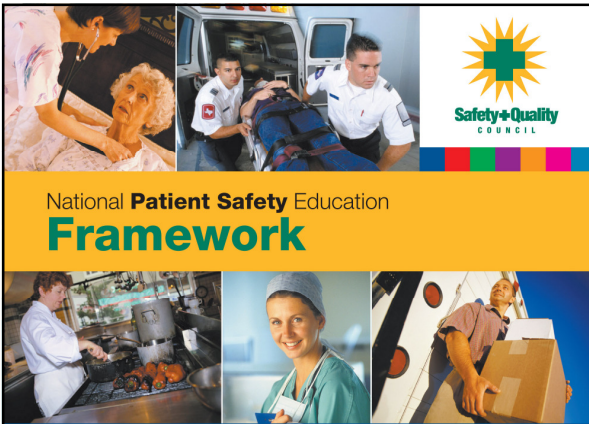
* Slight to modest evidence of healthcare management causation = 2 out of 6 scale, other papers management causation more certain:- 4 out of 6 scale



Initial actions of Council

- Commenced research and inquiry to support policy changes
- Established strong consumer input
- Priorities including
 - Raising awareness
 - Promoting a culture of safety
- Establishing building blocks for implementation of safety and quality agenda






Safety+Quality COUNCIL

National **Patient Safety** Education
Framework

The Australian Council for Safety and Quality in Health Care - July 2005

Open Disclosure Standard (July 2003)




Open communication when things go wrong in health care:

- Acknowledge that adverse event has occurred
- Express regret
- Explain what happened, clinical implications and treatment
- Take steps to manage and prevent recurrence
- Give feedback to patient and carers

OPEN DISCLOSURE STANDARD:
A NATIONAL STANDARD FOR OPEN COMMUNICATION IN PUBLIC AND PRIVATE HOSPITALS, FOLLOWING AN ADVERSE EVENT IN HEALTH CARE

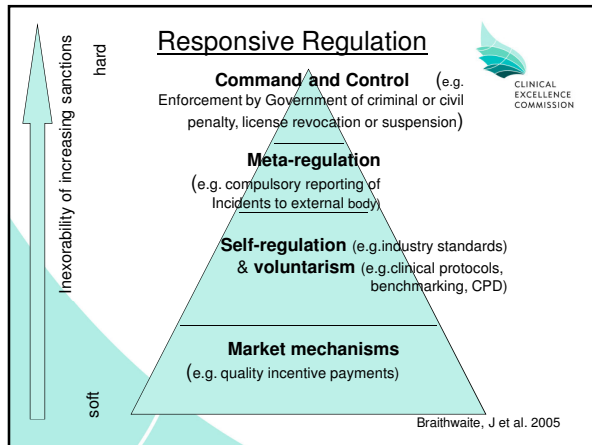
CLINICAL EXCELLENCE COMMISSION

Better Practice Guidelines on Complaints Management (July 2004)



- Commitment to consumers and quality improvement
- Accessible
- Responsive
- Effective assessment
- Appropriate resolution
- Privacy and open disclosure
- Gathering and using information
- Making improvements

CLINICAL EXCELLENCE COMMISSION



Reforms led by the Australian Council for Safety and Quality in Health Care, 2000-2005

Set national agenda with "buy-in" from jurisdictions, professions and consumer groups

All hospitals to have:

- A common medication chart
- Pharmacist review of medication prescribing, dispensing, administration
- Incident management systems – including monitoring, investigation, analysis and action arising



CLINICAL EXCELLENCE COMMISSION

Reforms led by the Australian Council for Safety and Quality in Health Care, 2000-2005

All hospitals to:

- Report all sentinel events
- Adopt a specific "correct patient, correct site, correct procedure" protocol
- Provide all patients with a "10 tips" consumer booklet prior to admission
- Have in place a patient safety risk management plan



CLINICAL EXCELLENCE COMMISSION

Reforms led by the Australian Council for Safety and Quality in Health Care, 2000-2005

All States and Territories to agree to:

- National report on sentinel events
- Progressively implement the national "Open Disclosure" standard
- Address performance management, including issues of corporate and clinical governance, supervision and staffing levels
- Data collection – national data sets for safety and quality improvement
- External review – participation of services in processes of assessment (accreditation)



CLINICAL EXCELLENCE COMMISSION

Other work addressed by ACSQHC in Australia

- Health care associated infections
- Credentialling and defining scope of practice
- Root cause analysis training
- Workforce education in safety and quality and human factors science




Australian Commission on Safety and Quality in Health Care

Established January 2006

Role in relation to safety & quality

- Lead and coordinate improvements by identifying issues and policy directions and priorities
- Disseminate knowledge
- Report publicly on performance against national standards
- Recommend national data sets
- Provide strategic advice to ministers
- Recommend national standards for safety and quality




Australian Commission on Safety and Quality in Health Care

Work programme

- Accreditation
- Australian Charter of Health Care Rights
- Clinical handover
- Falls prevention guidelines
- Health care associated infection
- Information strategy (evidence based safety and quality)
- Medication safety
- Open disclosure
- Patient identification
- Recognising and responding to clinical deterioration




World Health Organization SURGICAL SAFETY CHECKLIST (FIRST EDITION)

Before induction of anaesthesia Before skin incision Before patient leaves operating room

SIGN IN	TIME OUT	SIGN OUT
<input type="checkbox"/> PATIENT HAS CONFIRMED • IDENTITY • SITE • PROCEDURE • CONSENT <input type="checkbox"/> SITE MARKED-NOT APPLICABLE <input type="checkbox"/> ANAESTHESIA SAFETY CHECK COMPLETED <input type="checkbox"/> RULE CHARTER ON PATIENT AND FUNCTIONING <input type="checkbox"/> DOES PATIENT HAVE A: <input type="checkbox"/> KNOWN ALLERGY? NO/YES <input type="checkbox"/> DIFFICULT AIRWAY/ASPIRATION RISK? NO/YES <input type="checkbox"/> YES, AND EQUIPMENT/ASSISTANCE AVAILABLE <input type="checkbox"/> RISK OF SPINAL BLOOD LOSS (ONLY IN CHILDREN)? NO/YES <input type="checkbox"/> YES, AND ADEQUATE INTRAVENOUS ACCESS AND FLUIDS PLANNED	<input type="checkbox"/> CONFIRM ALL TEAM MEMBERS HAVE INTRODUCED THEMSELVES BY NAME AND ROLE <input type="checkbox"/> SURGEON, ANAESTHESIA PROFESSIONAL AND NURSE VERBALLY CONFIRM • PROCEDURE • SITE <input type="checkbox"/> ANTIWATERED CRITICAL EVENTS <input type="checkbox"/> SURGEON REVIEWS WHAT ARE THE CRITICAL OR UNEXPECTED STEPS, OPTIMISE: POSITIONING, ANTICIPATED BLOOD LOSS <input type="checkbox"/> ANAESTHESIA TEAM REVIEWS: ARE THERE ANY PATIENT-SPECIFIC CONCERNS? <input type="checkbox"/> NURSING TEAM REVIEWS: HAS STERILITY (INCLUDING RUBS/AIR WASH/IN) BEEN CONFIRMED ARE THERE EQUIPMENT ISSUES OR ANY CONCERNS? <input type="checkbox"/> HAS ANTIBIOTIC PROPHYLAXIS BEEN GIVEN WITHIN THE LAST 60 MINUTES? YES/NO/NOT APPLICABLE <input type="checkbox"/> IS ESSENTIAL IMAGING DISPLAYED? YES/NO/NOT APPLICABLE	<input type="checkbox"/> NURSE VERBALLY CONFIRMS WITH THE TEAM: <input type="checkbox"/> THE NAME OF THE PROCEDURE RECORDED <input type="checkbox"/> THAT INSTRUMENT, SPONGE AND NEEDLE COUNTS ARE CORRECT (IF NOT APPLICABLE) <input type="checkbox"/> HOW THE SPECIMEN IS LABELLED (IF APPLICABLE) <input type="checkbox"/> WHETHER THERE ARE ANY EQUIPMENT PROBLEMS TO BE ADDRESSED <input type="checkbox"/> SURGEON, ANAESTHESIA PROFESSIONAL AND NURSE REVIEW THE KEY CONCERNS FOR SURVEILLANCE AND MANAGEMENT OF THIS PATIENT

THIS CHECKLIST IS NOT INTENDED TO BE COMPREHENSIVE. ADDITIONS AND MODIFICATIONS TO FIT LOCAL PRACTICE ARE ENCOURAGED.

AUSTRALIAN CHARTER OF HEALTHCARE RIGHTS

The Australian Charter of Healthcare Rights describes the rights of patients and other people using the Australian health system. These rights are essential to make sure that, wherever and whenever care is provided, it is of high quality and safe.

The Charter describes that people receiving care and people providing care all have important parts to play in achieving healthcare rights. The Charter allows patients, consumers, families, carers and services providing health care to share an understanding of the rights of people receiving health care. This helps everyone to work together towards a safe and high quality health system. A genuine partnership between patients, consumers and providers is important so that everyone achieves the best possible outcomes.

Guiding Principles

These three principles describe how the Charter applies in the Australian health system.

- 1 Everyone has the right to be able to access health care and this right is essential for the Charter to be meaningful.
- 2 The Australian Government commits to international agreements about human rights which recognize everyone's right to have the highest possible standard of physical and mental health.
- 3 Australia is a society made up of people with different cultures and ways of life, and the Charter acknowledges and respects these differences.

MY RIGHTS	WHAT THIS MEANS
Access I have a right to health care.	Local actions services to address my healthcare needs.
Safety I have a right to receive safe and high quality health services, provided with professional care, skill and competence.	I receive safe and high quality health services, provided with professional care, skill and competence.
Respect I have a right to be shown respect, dignity and consideration.	The care provided shows respect for me and my values, beliefs, values and personal characteristics.
Communication I have a right to be informed and to provide input, feedback, views and personal characteristics.	I receive open, timely and clear information, education and advice about my health care in a way I can understand.
Participation I have a right to be involved in decisions and choices about my care.	I have a right to make decisions and choices about my care and to have my views and preferences taken into account.
Privacy I have a right to privacy and confidentiality of my personal information.	My personal information is managed and given handling in a way that respects my privacy and confidentiality.
Complaint I have a right to comment on my care and to have my concerns addressed.	I can comment on my care and to have my concerns addressed with respect and promptly.



THE PROPOSED NATIONAL SAFETY AND QUALITY FRAMEWORK


Safe and high quality health care for Australia

Driven by information
Patient focused
Organized for safety

The Australian Health Ministers' Conference tasked the Australian Commission on Safety and Quality in Health Care with developing a national safety and quality framework. The proposed framework is based on a vision for safe and high quality care for Australia and describes what making safety and quality central to health care would mean for patients.

It is designed to be able to action to improve the safety and quality of the care framework includes:

- Be used as the basis of strategic and operational safety and quality plans;
- Provide a mechanism for reflecting current quality improvement activities, national investments for safety and quality and designing goals for health service improvement; and
- Promote discussion with consumers, clinicians, managers, researchers and policy-makers about how they might best contribute to safety and quality improvement.



Caring together: Executive summary



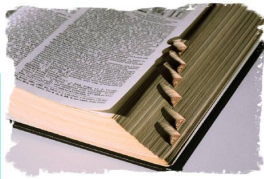
- 139 Recommendations
- 135 “accepted”
- Nurse in Charge
- Clinical Executive director
- Healthcare acquired Infections
- Three phases

Our Mission:

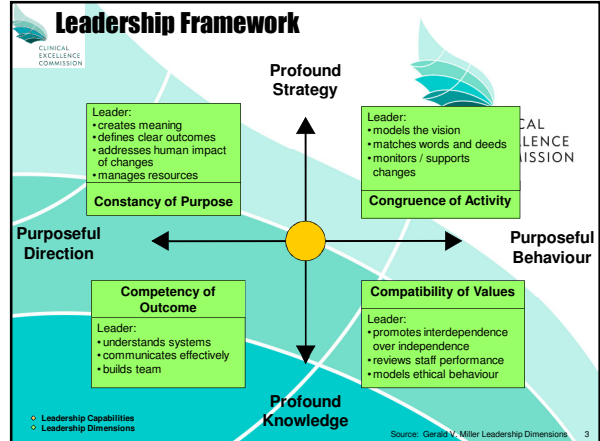


- To build **confidence** in health care in NSW by making it **demonstrably better** and **safer** for **patients** and a more **rewarding** workplace

What a difference a word makes!



- Clinical Practice Improvement:
- Performance vs **outcomes**
- Patient centered or **based** care:
- Data - Silos or **geared** for the future?
- What is **leadership**?



Performance or Outcomes?



- Elizabeth:
- 70year old on wait list
- Fall and # NoF
- Expedited surgery
- Met all performance benchmarks
- Transferred home on EDD
- Readmitted - multi system failure
- Died!

Performance or Outcomes?



- Elizabeth*:
- 70year old on wait list
- Fall and # NoF
- Expedited surgery
- Met all performance benchmarks
- Transferred home on EDD
- Readmitted - multi system failure
- Died!
- Deaf and Blind



* Only her name has been changed!

Purposeful Direction



NSW Falls Program

- **AIM**
- Reduce fall injury in older people and fall related
- admission to hospital
- **HOW**
- Implementation of a range of strategies that work
- across community, hospital and residential care
- settings



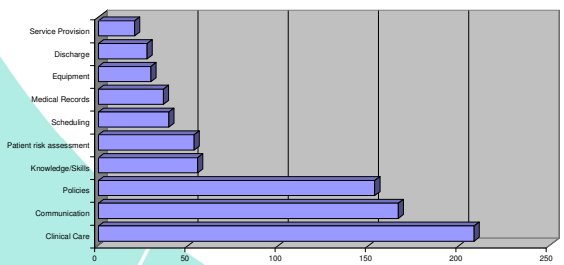

IIMS

- Available to all staff in NSW health system (c100,000)
- 16,000 notifications per month
- 70% completed on clinical form
- Majority are of minor or minimum impact (SAC 3 or 4). Less than 1% SAC 1.
- Top 3 Principal Incident Types: falls, medications / IV fluids, clinical management
- IIMS Bi Annual Report 2008 released May 2009. 2nd 2008 Biannual report to be released imminently.

IIMS / RCA Data – Risk Types

- c400-500 RCAs per annum across NSW
- Approx 4-5 root causes per RCA
- Common risks: clinical care, communication, policies

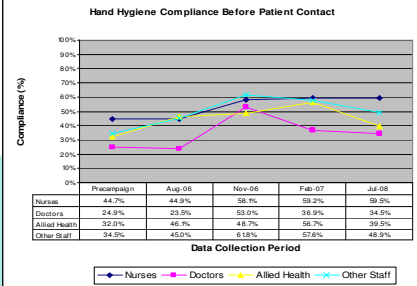



Clean Hands Save Lives Campaign




2006/2007 Campaign Results

Hand Hygiene Compliance Before Patient Contact

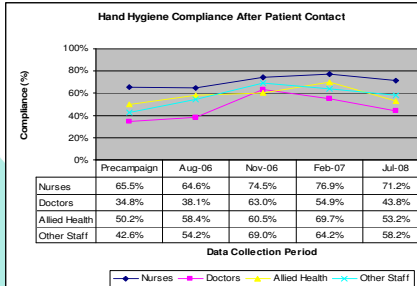


	Precampaign	Aug-06	Nov-06	Feb-07	Jul-08
Nurses	44.7%	44.9%	58.7%	59.2%	59.9%
Doctors	24.9%	23.9%	53.0%	56.9%	54.9%
Allied Health	32.0%	46.7%	48.7%	56.7%	39.5%
Other Staff	34.5%	45.0%	61.8%	57.6%	48.9%




2006/2007 Campaign Results

Hand Hygiene Compliance After Patient Contact



	Precampaign	Aug-06	Nov-06	Feb-07	Jul-08
Nurses	65.5%	64.6%	74.5%	76.9%	71.2%
Doctors	34.8%	38.1%	63.0%	54.9%	43.8%
Allied Health	50.2%	58.4%	60.5%	69.7%	53.2%
Other Staff	42.6%	54.2%	69.0%	64.2%	58.2%



Why important for AHSs?

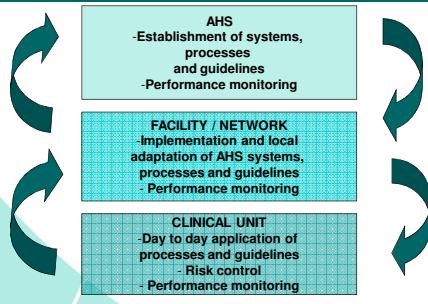
- Significant problem in all AHSs
- 1344 *S. aureus* bloodstream infections in 2008 (NSW total)
- \$27,148,800 lost annually (based on \$20,200 per SAB infection)
- Excess LOS per case – 11.5 -13 days

Special Reviews

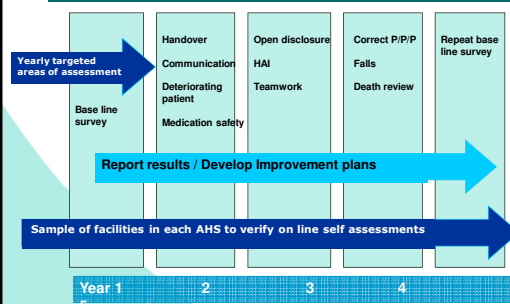
Legislative Framework

The Director General has the authority under the Health Administration Act 1997 to commission special reviews in the public health system.

Building on the three tiers



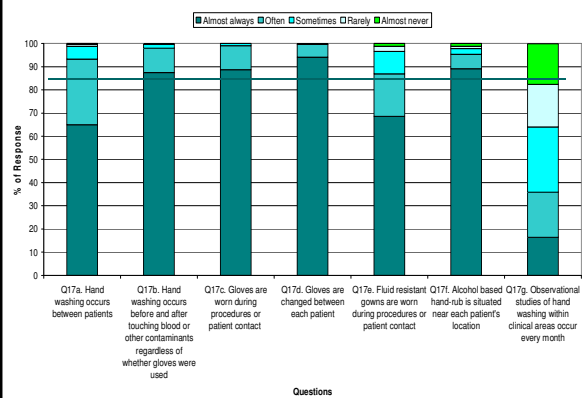
The overarching framework



QSA Methodology

- There are four components of the QSA
 - Completion of a **self-assessment survey** (the activity statement) at three levels of the organisation
 - **Verification** of the activity statements
 - **Feedback and reporting** to respondents, the health system and the community
 - **Development of improvement plans** at each level of the organisation to respond to the issues identified in the self-assessment process. The improvement plan will be subject to review in subsequent QSA assessments.

Infection Control - Clinical Unit Level



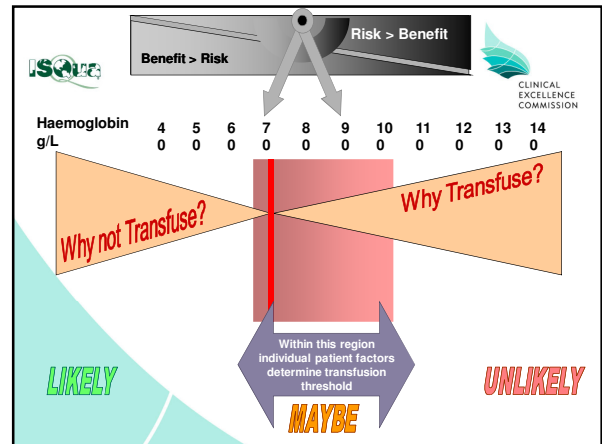
Key Recommendations

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- Recommendation made in response to the analysis of all level responses

<ul style="list-style-type: none"> • 1. System-wide Communication • 2. Risk Identification and Management • 3. Death Review • 4. Open Disclosure • 5. Evaluation and Promulgation of Improvement Programs • 6. Complaints against a Clinician 	<ul style="list-style-type: none"> 7. Quality Review Activities 8. Blood Management 9. Infection Control 10. Correct Patient/Site/Procedure 11. Staffing and Skill mix 12. Clinical Leadership
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QSA QUALITY SYSTEMS ASSOCIATION



Patient Management Three Pillars

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Pre Op	Intra op	Post op
Maximise Red Cell Mass	Conserve blood	Tolerate lower Hbs

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NSW Blood Budget 2008-2009

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- NSW's total projected Blood Budget for this year 08-09 is **\$257,519,200**
- This is made up as follows:
 - State contribution (37%) \$95,282,113
 - Commonwealth contribution (63%) \$162,237,087

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Our Progress to Date

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- An overall 10% reduction in-patient red cell usage between 2007- 2008
9168 units equates to a direct product cost of approximately \$2,383,855 savings (based on AUD\$260 per unit)
- Reduction in platelet waste
- Reduction in FFP
 - **How have we achieved this?**

ISQua

theTransfusionquestion





the debate starts soon

www.thetransfusionquestion.com.au



NSW Central Line Associated Bacteraemia – ICU Project

AR Burrell, M-L McLaws, A Pantle, M Murgu, E Calabria

Financial costs of CLAB Australian estimates

- * In April 2001 the Australian Infection Control Association Expert Working Group reported that each case of *S. aureus* bacteraemia may be associated with additional health care costs of about \$20 000
- Using that estimate and assuming that all 104 reported infections were due to *S. aureus*, expenditure due to CLAB in NSW would be **\$2 080 000**

*Collignon P, Intravascular catheter bloodstream infections: an effective and sustained hospital-wide prevention program over 8 years, MJA, Volume 187 Number 10, November 2007

Financial costs of CLAB US estimates

- 15 680 lives and \$1.3 billion medical costs could be saved annually by reducing the number of CLABS*

United States House of Representatives Committee on Oversight and Government Reform Staff Report September 2008, Survey of State Hospital Association: Practices to prevent hospital – associated bloodstream infections

- The US Agency for Healthcare Research and Quality recently committed \$3 million over 3 years to help reduce the incidence of CLAB

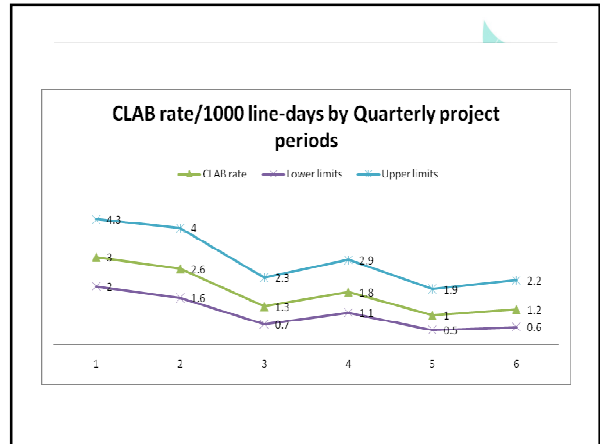
Health Care Advisory Board, Daily Briefing, 10 February 2008

Results

- Data on 11000 central lines has been collected since June 2007
- 104 infections have been reported
- CLAB rate for the period July 07 to December 08 is 2.2 per 1000 patient line days
- CLAB rate has noticeably decreased in the last six months
- Preliminary analysis suggests that CLAB has been virtually eliminated in the first 72 hours post insertion

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Checklist Compliance – all ICUs – July 07 – Dec 08

Competency assessed	48.3% (22.9% no, 28.8% missing)
Hat, mask, eyewear	79.9%
Hands washed 2 mins	91.6%
Sterile gown/gloves	95.9%
Alcoholic chlorhexidine prep allowed to dry	95.8%
Entire patient draped	93.4%
Sterile technique maintained	95.6%
No multiple passes	80.9%
Confirm position radiologically	74.3%
Other method to confirm placement	43.6% (44.7% no, 11.7% missing)

Where can you find us?

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